

UNITED STATES DEPARTMENT OF COMMERCE United States Patent and Trademark Office Address COMMISSIONER FOR PATENTS P O Box 1450 Alexandra, Virginia 22313-1450 www.webje.gov

APPLICATION NO.	FILING DATE	FIRST NAMED INVENTOR	ATTORNEY DOCKET NO.	CONFIRMATION NO.	
10/633,104	08/01/2003	Darel Emmot	10001767-1	4784	
22879 HEWLETT PA	7590 04/03/200 ACKARD COMPANY	9	EXAM	IINER	
P O BOX 272400, 3404 E. HARMONY ROAD			SWEARINGE	SWEARINGEN, JEFFREY R	
	JAL PROPERTY ADM NS. CO 80527-2400	INISTRATION	ART UNIT PAPER NUMBER		
	,		2445	2445	
			NOTIFICATION DATE	DELIVERY MODE	
			04/03/2009	ELECTRONIC	

# Please find below and/or attached an Office communication concerning this application or proceeding.

The time period for reply, if any, is set in the attached communication.

Notice of the Office communication was sent electronically on above-indicated "Notification Date" to the following e-mail address(es):

JERRY.SHORMA@HP.COM ipa.mail@hp.com jessica.l.fusek@hp.com

## 10/633,104 Office Action Summary Examiner

Application No. Applicant(s) EMMOT, DAREL Art Unit

	Jerrey R. Swearingen	2445				
The MAILING DATE of this communication app	ears on the cover sheet with the o	orrespondence ad	dress			
Period for Reply  A SHORTENED STATUTORY PERIOD FOR REPLY IS SET TO EXPIRE 3 MONTH(S) OR THIRTY (30) DAYS,						
WHICHEVER IS LONGER, FROM THE MAILING DA  - Extensions of time may be available under the provisions of 37 CFR 1.13 after SIX (6) MONTHS from the mailing date of this communication.	6(a). In no event, however, may a reply be tin	nely filed				
<ul> <li>If NO period for reply is specified above, the maximum statutory period w</li> <li>Failure to reply within the set or extended period for reply will, by statute, Any reply received by the Office later than three months after the mailing earned patent term adjustment. See 37 CFR 1.704(b).</li> </ul>	cause the application to become ABANDONE	D (35 U.S.C. § 133).	ommunication.			
Status						
1) Responsive to communication(s) filed on 09 De	cember 2008.					
2a) This action is <b>FINAL</b> . 2b) ☑ This	action is non-final.					
3) Since this application is in condition for allowance except for formal matters, prosecution as to the merits is						
closed in accordance with the practice under E	x parte Quayle, 1935 C.D. 11, 45	53 O.G. 213.				
Disposition of Claims						
4) Claim(s) 1-22 is/are pending in the application.						
4a) Of the above claim(s) is/are withdrawn from consideration.						
5) Claim(s) is/are allowed.						
6)⊠ Claim(s) <u>1-22</u> is/are rejected.						
7) Claim(s) is/are objected to.						
8) Claim(s) are subject to restriction and/or	election requirement.					
Application Papers						
9) The specification is objected to by the Examiner						
10) The drawing(s) filed on is/are: a) accepted or b) objected to by the Examiner.						
Applicant may not request that any objection to the o	Irawing(s) be held in abeyance. See	e 37 CFR 1.85(a).				
Replacement drawing sheet(s) including the correcti	on is required if the drawing(s) is ob	jected to. See 37 CF	FR 1.121(d).			
11)☐ The oath or declaration is objected to by the Ex	aminer. Note the attached Office	Action or form PT	O-152.			
Priority under 35 U.S.C. § 119						
12) Acknowledgment is made of a claim for foreign a) All b) Some * c) None of:	priority under 35 U.S.C. § 119(a)	)-(d) or (f).				
1.☐ Certified copies of the priority documents	have been received.					
Certified copies of the priority documents		ion No				
3. Copies of the certified copies of the prior	ty documents have been receive	ed in this National	Stage			
application from the International Bureau	(PCT Rule 17.2(a)).					
* See the attached detailed Office action for a list of	of the certified copies not receive	ed.				
III						
Attachment(s)						

1) Notice of References Cited (PTO-892)

 Notice of Draftsperson's Patent Drawing Review (PTO-948)
 Information Disclosure Statement(s) (PTO/S5/08) Paper No(s)/Mail Date \_\_\_

4) Interview Summary (PTO-413) Paper No(s)/Mail Date. \_\_\_

5) Notice of Informal Patent Application 6) Other: \_\_\_\_\_

Application/Control Number: 10/633,104 Page 2

Art Unit: 2445

#### DETAILED ACTION

1. In view of the Appeal Brief filed on 12/9/2008, PROSECUTION IS HEREBY

REOPENED. New grounds of rejection are set forth below.

To avoid abandonment of the application, appellant must exercise one of the following two options:

(1) file a reply under 37 CFR 1.111 (if this Office action is non-final) or a reply under 37 CFR 1.113 (if this Office action is final); or,

(2) initiate a new appeal by filing a notice of appeal under 37 CFR 41.31 followed by an appeal brief under 37 CFR 41.37. The previously paid notice of appeal fee and appeal brief fee can be applied to the new appeal. If, however, the appeal fees set forth in 37 CFR 41.20 have been increased since they were previously paid, then appellant must pay the difference between the increased fees and the amount previously paid.

A Supervisory Patent Examiner (SPE) has approved of reopening prosecution by signing below:

/Andrew Caldwell/ Supervisory Patent Examiner, Art Unit 2442

## Response to Arguments

 Applicant's arguments with respect to claims 1-22 have been considered but are moot in view of the new ground(s) of rejection.

#### Claim Rejections - 35 USC § 103

 The following is a quotation of 35 U.S.C. 103(a) which forms the basis for all obviousness rejections set forth in this Office action: Application/Control Number: 10/633,104 Page 3

Art Unit: 2445

(a) A patent may not be obtained though the invention is not identically disclosed or described as set forth in section 102 of this title, if the differences between the subject matter sought to be patented and the prior art are such that the subject matter as a whole would have been obvious at the time the invention was made to a person having ordinary skill in the art to which said subject matter pertains. Patentability shall not be negatived by the manner in which the invention was made.

- Claims 1-3, 5-22 are rejected under 35 U.S.C. 103(a) as being unpatentable over
   Joseph et al. (US 5.628.615) in view of Afek et al. (US 5.748.901).
- switching nodes, a method implemented in at least one of the plurality of distributed switching nodes for routing information entering the at least one of the plurality of distributed switching nodes for routing information entering the at least one of the plurality of distributed switching nodes over a first channel to one of a plurality of other channels, the method comprising: obtaining priority information for the information; ascertaining a remaining communication length for the information for each of the plurality of other channels; determining a current demand for each of the plurality of other channels; and routing the information entering at the first channel to one of the plurality of other channels based upon an evaluation that considers a combination of the obtained priority information, the ascertained communication length for each of the plurality of other channels, and the current demand for each of the plurality of other channels.
- 6. Joseph is a system for communicating messages between nodes of a packet switched communications network. Joseph, Abstract. Joseph looks at the priority of the message. Joseph, column 4, line 51. Joseph looks at the length of the packet being transmitted. Joseph, column 4, lines 54-67 software configurable length "M". The length "M" is used to determine the message class. Joseph, column 5, lines 1-8. The routing and forwarding of packets to a specific channel in Joseph is performed based

upon the priority of a message, and the class of the message. Joseph, column 5, lines 8-15.

- Joseph failed to disclose routing packets to various channels based upon a current demand for channels.
- 8. Afek is a routing algorithm for flow control. Afek, column 5, line 65. Afek's algorithm measures the amount of unused link capacity to limit session rates. Afek, column 6, lines 25-32. Afek counts the number of cells arriving over a period of time. Afek, column 6, lines 35-40. Afek adjusts session rates based upon available capacity. Afek, column 7, lines 20-26. Afek is designed for both ATM and TCP networks. Afek, column 7, line 55.
- 9. It would have been obvious to one of ordinary skill in the art at the time of invention to route based upon both the Joseph and Afek systems. It would have been obvious to one of ordinary skill in the art at the time of invention to adapt Joseph to use Afek in order to allow for the best use of transmission of bandwidth sensitive information (Joseph, column 3, lines 9-12, lines 55-60), (Afek, column 5, lines 35-61).
- 10. In regard to claim 2, Afek further disclosed determining a demand for channels coupled to remote nodes between a current node and a destination node and utilizing this priority information in determining a channel over which to route the information entering the at least one of the plurality of distributed switching nodes. Afek measures amount of unused link capacity. Afek, column 6, lines 25-32. The implementation of Afek over a network involves back pressure on the previous routers, and any demand on remote nodes would be propagated backward using Afek to all prior nodes.

11. In regard to claim 3, Joseph disclosed obtaining a destination node from a header portion of the information. Joseph disclosed transmitting packets over a channel. Joseph, column 4, lines 39-42. It is inherent to Joseph that in order to transmit a packet to its destination, that Joseph would look to the header of the packet since the packet would state the origin and destination addresses of the packet for routing.

- In regard to claim 5, Joseph further disclosed retrieving a priority indicator from a header portion of the information. Joseph, column 4, line 51.
- 13. In regard to claim 6, Joseph further disclosed obtaining priority information more specifically comprises evaluating a payload portion of the information. Joseph, column 5, lines 1-8 the length of the packet is evaluating the payload of the information.
- 14. In regard to claim 7, Afek further disclosed ascertaining the remaining communication length comprises receiving and evaluating network information communicated from other nodes in the network. Afek, column 6, lines 25-32 disclosed the back pressure exertion of current bandwidth capacity on previous nodes. As the bandwidth is changed in Afek, prior routers are notified of the changes in bandwidth capacity for each node receiving and evaluating information communicated from other nodes in the network.
- 15. In regard to claim 8, Joseph further disclosed ascertaining the remaining communication length comprises computing the communication length based on a priori information about the network. Joseph used a software agent which referenced a

Application/Control Number: 10/633,104

Art Unit: 2445

connection state table. Joseph, column 5, line 34. The connection state table is a priori information about the network.

Page 6

16. In regard to claim 9, Afek further disclosed determining the current demand for each of the plurality of other channels comprises evaluating a state of an output queue for each of the other channels. Afek, column 8, lines 35-54 disclose using the Afek algorithm with current queue conditions and parameters.

- 17. In regard to claim 10, Afek and Joseph as described in claim 1 taught priority information, ascertained communication length, and current demand. It would have been obvious to one of ordinary skill in the art at the time of invention to substantially balance all three of these factors when routing information to treat each factor with equal importance as best needed for system requirements.
- 18. In regard to claim 11, Afek and Joseph as described in claim 1 taught priority information, ascertained communication length, and current demand. It would have been obvious to one of ordinary skill in the art at the time of invention to make one of these factors weighted more than the others when routing information based on system requirements.
- In regard to claim 12, Joseph disclosed the information is embodied in a packet.
   Column 5, lines 43-51 disclose using the information in a packet.
- In regard to claim 13, Joseph disclosed the information is embodied in a flit.
   Column 6, lines 3-22 disclose using information in a flit.

21. In regard to claim 14, Joseph disclosed the information is embodied in a plurality of flits that collectively comprise an information packet. Joseph, column 6, lines 3-22 where the flits comprise a packet.

- In regard to claim 15, Joseph disclosed routing is performed on a per-flit basis.
   Joseph, column 6, lines 59-67.
- 23. In regard to claim 16, Joseph disclosed the routing is performed on a first flit, and remaining flits in information packet are routed to the same other channel as the first flit. Joseph, column 7, lines 1-33 describes breaking the packet into flits and sending the flits to a specific channel.
- 24. Claim 17 is the egress equivalent of the ingress method of claim 1, and the rejection of claim 1 is applicable to claim 17.
- Claim 18 is the egress equivalent of the ingress method of claim 2, and the rejection of claim 2 is applicable to claim 18.
- Claim 19 is the egress equivalent of the ingress method of claim 10, and the rejection of claim 10 is applicable to claim 19.
- 27. Claim 20 is the egress equivalent of the ingress method of claim 11, and the rejection of claim 11 is applicable to claim 20.
- 28. Claim 21 is substantially the same as claim 1.
- Claim 22 is substantially the same as claim 10.
- Claim 4 is rejected under 35 U.S.C. 103(a) as being unpatentable over Joseph in view of Afek as applied to claim 1 above, and further in view of Katsube et al. (US 6.501.756).

31. In regard to claim 4, Joseph in view of Afek failed to disclose ascertaining a quantifiable identification of a number of intermediate nodes that the information will traverse before reaching a destination node. However, Katsube taught disclosing the hop count of a packet - a quantifiable identification of a number of intermediate nodes that the information will traverse before reaching a destination node. Katsube, column 3, lines 39-49. It would have been obvious to one of ordinary skill in the art at the time of invention to use a hop count with the Joseph/Afek combination to prevent sending traffic over unnecessarily long paths on a network.

### Conclusion

 The prior art made of record and not relied upon is considered pertinent to applicant's disclosure.

33. O'Loughlin et al. US 6,185,635

34. Spinney et al. US 6,226,267

Any inquiry concerning this communication or earlier communications from the examiner should be directed to Jeffrey R. Swearingen whose telephone number is (571)272-3921. The examiner can normally be reached on M-F 8:30-5:00.

If attempts to reach the examiner by telephone are unsuccessful, the examiner's supervisor, Larry Donaghue can be reached on 571-272-3933. The fax phone number for the organization where this application or proceeding is assigned is 571-273-8300.

Application/Control Number: 10/633,104

Art Unit: 2445

Information regarding the status of an application may be obtained from the Patent Application Information Retrieval (PAIR) system. Status information for published applications may be obtained from either Private PAIR or Public PAIR. Status information for unpublished applications is available through Private PAIR only. For more information about the PAIR system, see http://pair-direct.uspto.gov. Should you have questions on access to the Private PAIR system, contact the Electronic Business Center (EBC) at 866-217-9197 (toll-free). If you would like assistance from a USPTO Customer Service Representative or access to the automated information system, call 800-786-9199 (IN USA OR CANADA) or 571-272-1000.

Jeffrey R. Swearingen Examiner Art Unit 2445

/J. R. S./ Examiner, Art Unit 2445 /Larry D Donaghue/

Primary Examiner, Art Unit 2454